

# Comparison of different lymph node staging systems in prognosis of gastric cancer: a bi-institutional study from Hungary

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## Abstract

**Objective:** The Union for International Cancer Control (UICC) Node (N) classification is the most common used staging method for the prognosis of gastric cancer. It demands adequate, at least 16 lymph nodes (LNs) to be dissected; therefore different staging systems were invented.

**Methods:** Between March 2005 and March 2010, 164 patients were evaluated at the Department of General Surgery in the Kenézy Gyula Hospital and at the Department of General, Thoracic and Vascular Surgery in the Kaposi Mór Hospital. The 6th, 7th and 8th UICC N-staging systems, the number of examined LNs, the number of harvested negative LNs, the metastatic lymph node ratio (MLR) and the log odds of positive LNs (LODDS) were determined to measure their 5-year survival rates and to compare them to each other.

**Results:** The overall 5-year survival rate for all patients was 55.5% with a median overall survival time of 102 months. The tumor stage, gender, UICC N-stages, MLR and the LODDS were significant prognostic factors for the 5-year survival with univariate analysis. The 6th UICC N-stage did not follow the adequate risk in comparing N2 vs. N0 and N3 vs. N0 with multivariate investigation. Comparison of performances of the residual N classifications proved that the LODDS system was first in the prediction of prognosis during the evaluation of all patients and in cases with less than 16 harvested LNs. The MLR gave the best prognostic prediction when adequate (more than or equal to 16) lymphadenectomy was performed.

**Conclusions:** We suggest the application of LODDS system routinely in western patients and the usage of MLR classification in cases with extended lymphadenectomy.

**Keywords:** Gastric cancer; lymph node metastasis; prognosis; staging system; survival

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## Introduction

Gastric cancer is the fourth most common cancer in the world and the second leading cause of death due to cancer worldwide, with more than one million new cases estimated to arise each year (1). Most of them are diagnosed in China (2). The depth of tumor invasion (3,4), metastatic lymph node (LN) status and R0 resection are the most important independent prognostic factors for overall survival (OS)

and disease free survival (DFS) (5,6). Furthermore, many investigators have demonstrated that LN metastasis is an independent risk factor for gastric cancer recurrence, as well as the time interval between radical gastrectomy and hepatic metastasis in patients following curative resection (7-9). Adjuvant chemotherapy and the patient's prognosis are determined primarily by the Tumor-Node-Metastasis (TNM) stage. The TNM staging system in gastric cancer was introduced in 1974 (10) and has been modified

periodically over time. This classification is the most common used staging method for prognosis in gastric cancer; however it demands at least 16 LNs to be dissected to avoid the stage migration phenomenon. A real problem is that the majority of western patients receive at most limited lymphadenectomy (D1) (11).

Due to this issue, different staging systems were invented to compensate for occasions where 16 LNs are not able to be dissected. Comparisons were made between Union for International Cancer Control (UICC) N-classification and other classifications where adequate dissections for (UICC) N-classification are not possible.

An ideal LN staging system should satisfy three conditions: decreased patient survival with increasing stage (monotonicity), similar survival within a group (homogeneity) and difference in survival between groups (distinctiveness) (12).

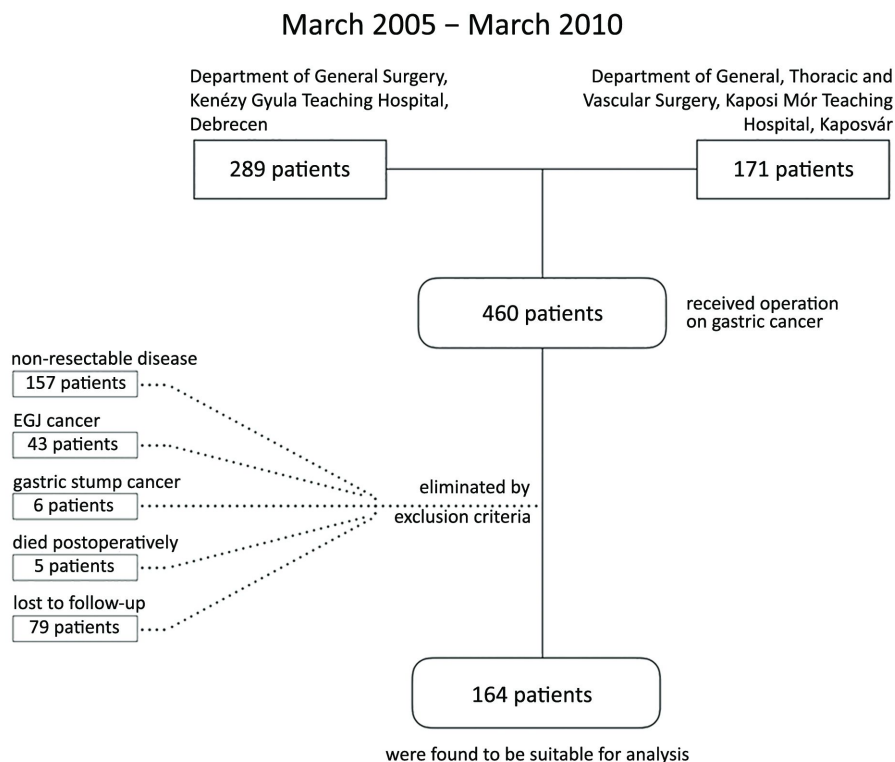
The aim of this bi-institutional study was to compare the next 7 different N-staging systems: 6th UICC N-stage, 7th UICC N-stage, 8th UICC N-stage, examined LN (eLN), the number of dissected negative LN (NLN), metastatic LN ratio (MLR) and the log odds of positive LNs (LODDS) foremost, in the Eastern European region.

## Materials and methods

### Patients

Between March 2005 and March 2010, 460 patients received operation on gastric cancer at the Department of General Surgery in the Kenézy Gyula Teaching Hospital, Debrecen and at the General, Thoracic and Vascular Surgery Department in the Kaposi Mór Teaching Hospital, Kaposvár. This study was performed in accordance with the Research Ethics Committee of the Kenézy Gyula Teaching Hospital. An informed consent form was signed by all patients, in compliance with the Helsinki Declaration of 1964.

Eligibility criteria for inclusion were: 1) histologically proved gastric adenocarcinoma; 2) R0 resection; and 3) availability of complete follow-up data. The exclusion criteria were: 1) adenocarcinoma of esophago-gastric junction; 2) gastric stump cancer; 3) distant metastasis (visceral and/or peritoneal); 4) neoadjuvant oncological treatment; or 5) mortality due to postoperative complication. After the elimination, 164 patients were suitable for the analysis (Figure 1).



**Figure 1** Flow chart of patient selection.

All patients were followed up trimonthly in the first 2 years, every 6 months during the third to fifth years and annually thereafter. Physical examination, blood test, chest radiography, abdominal and pelvic computer tomography scan or abdominal ultrasound every 6 months and annual gastroscopy were performed during the follow-up period. Based on our chemotherapy protocols, only the patients with T3 and/or node positive gastric cancer had adjuvant treatment. The last follow-up was in December 2016. The median and mean follow-up time was 23.0 and 43.7 (range: 3–136) months, respectively.

**Evaluated variables**

Clinical data were collected for subsequent investigation, and included: sex (male or female), age at the time of surgery ( $\leq 60$  or  $> 60$  years), size of primary tumor ( $\leq 50$  or  $> 50$  mm), location of the tumor (upper-, middle-, or lower-third) and Borrmann classification (type I–IV) of cancer, and degree of differentiation (well, moderately or poorly differentiated carcinoma).

The extension of LN dissection (D1 or D2), the 6th UICC, the 7th UICC and the 8th UICC T- and N-staging systems were evaluated according to the 5-year survival rate. eLN ( $< 16$  or  $\geq 16$ ), NLN (0, 1–9,  $\geq 10$ ), MLR (0, 0.1%–20.0%, 20.1%–50.0%,  $\geq 50.1\%$ ) and LODDS ( $< -1.125$ ,  $-1.125 - -0.251$ ,  $-0.250 - 0.749$ ,  $\geq 0.750$ ) were determined to measure their 5-year survival data and to compare them to the above mentioned UICC N-staging systems and to each other. While the ranges of eLN, NLN and MLR classification were specified by the most common used data from literature. The LODDS's values were figured by statistical analysis (Table 1). Finally we investigated the different N-staging systems according to the number of harvested LNs ( $< 16$  or  $\geq 16$ ).

**Statistical analysis**

Log-rank tests were used to compare patient groups in

terms of survival. Adjusted analysis was performed using Cox proportional hazards models. LODDS cutoff levels were based on using all possible cutoff triplets from  $-1.5$  to  $1$  in steps of  $0.125$  to categorize LODDS. Cox models fitted using these categorized variants were ranked on Akaike information criterion (AIC) value, log likelihood, Harrell's C coefficient, linear trend  $\chi^2$  statistic and on the product as well as the sum of these ranks. The cutoff triplet associated with top rank based on the latter two was identified as optimal. The procedure was completed both with and without adjusting the models for age and sex.

Comparing the different staging systems, we evaluated discriminatory ability and monotonicity of gradient using the linear trend  $\chi^2$  test. Furthermore, the AIC value from a Cox proportional hazard regression model was used to assess the discriminatory ability of each system. Receiver Operating Characteristic (ROC) Areas under Curves (AUC) were estimated by calculating Harrell's C coefficient for each of the evaluated N-staging systems. The 5-year survival percentages were calculated by estimating the Kaplan-Meier survivor function at 60 months. All P values were based on a two-sided approach. The significance should be considered at  $P < 0.05$ . Stata 2009 Statistical Software (Version 11; StataCorp LLC, College Station, TX, USA) (13) was used for data handling and analysis.

**Results**

A total of 164 patients with a median age of 66 (range: 35–90) years were evaluated. Sixty percent of patients were male and more than half of the patients (55%) had lower-third tumors. The average number of removed LNs was 10.48 (range: 1–38) per case. The mean number of metastatic LNs was 3.22 (range: 0–23). The overall 5-year survival rate for all patients was 55.5% with a median OS time of 102 months.

**Table 1** Performance of different LODDS cutoff sets

No.	Top 3 ranked cutoff sets			Rank AIC	Rank LL	Rank c-index	Rank $\chi^2$	Rank by product	Rank by sum
	Cut 1	Cut 2	Cut 3						
1	-1.125	-0.250	0.750	4	4	5	13	1	1
2	-1.125	-0.375	0.750	11	11	7	26	5	2
3	-1.125	-0.250	0.875	21	21	10	9	8	3
4	-1.125	-0.750	-0.250	2	2	1	381	3	45
5	-1.125	-0.750	-0.375	1	1	3	485	2	65

LODDS, log odds of positive lymph nodes; AIC, Akaike information criterion, LL, log likelihood.

The 5-year survival rates and the results of the univariate analysis according to the patient's and tumor's characteristics were calculated and only the T-stage was a significant factor in this bi-institutional study (Table 2). The survival difference according to the tumor size was remarkable (65.8% vs. 49.3%); however it was not

**Table 2** Five-year survival rates and univariate analysis results according to patient and tumor characteristics

Characteristics	n	5-YSR (%)	P
Gender			0.056
Male	99	46.4	
Female	65	70.2	
Age (year)			0.641
<60	45	55.2	
≥60	119	55.3	
Borrmann type			0.279
I	2	50.0	
II	68	60.2	
III	72	50.5	
IV	4	25.0	
Lymphadenectomy			0.620
D1	82	58.2	
D2	82	53.0	
Tumor size (mm)			0.071
<50	64	65.8	
≥50	100	49.3	
Location (third)			0.742
Upper+middle	73	52.9	
Lower	91	57.8	
Histology			0.467
Poor	86	59.0	
Well	78	52.2	
6th UICC T-stage			0.037
T1	25	82.5	
T2	46	55.7	
T3	82	47.7	
T4	11	45.0	
7th, 8th UICC T-stage			0.029
T1	25	82.5	
T2	20	69.1	
T3	26	40.8	
T4	93	47.5	

5-YSR, 5-year survival rate; UICC, Union for International Cancer Control.

significant.

All of the evaluated N-staging classifications, excluding the eLN and NLN were significant prognostic factors for the 5-year survival with univariate analysis (Table 3).

**Table 3** Five-year survival rates and statistical results of different N-staging systems

Variables	n	5-YSR (%)	P
6th UICC N-stage			<0.0001
N0	67	74.3	
N1	66	49.3	
N2	27	18.5	
N3	4	0	
7th UICC N-stage			<0.0001
N0	67	74.3	
N1	33	52.1	
N2	33	47.7	
N3	31	19.0	
8th UICC N-stage			<0.0001
N0	67	74.3	
N1	33	52.1	
N2	33	47.7	
N3a	27	18.5	
N3b	4	N/A	
eLN			0.581
<16	127	54.2	
≥16	37	60.0	
NLN			0.209
0–9	117	52.2	
10–14	24	52.3	
≥15	23	73.1	
MLR (%)			<0.0001
0	67	74.3	
0.1–20.0	19	54.2	
20.1–50.0	30	47.1	
≥50.1	48	30.0	
LODDS			<0.0001
< -1.125	29	81.9	
-1.125 – -0.251	75	64.7	
-0.250 – 0.749	43	31.0	
≥0.750	17	0	

5-YSR, 5-year survival rates; UICC, Union for International Cancer Control; eLN, examined lymph nodes; NLN, number of retrieved negative lymph nodes; MLR, metastatic lymph node ratio; LODDS, log odds of positive lymph nodes.

Figures 2–6 show the survival curves of these systems. Unfortunately, only 4 patients are representing the N3b group in the 8th staging system and their follow-up period was less than 5 years, so we could not calculate the survival rate.

Meanwhile, our multivariate survival analysis found that all of the four significant classifications were an independent prognostic factor for survival, however during

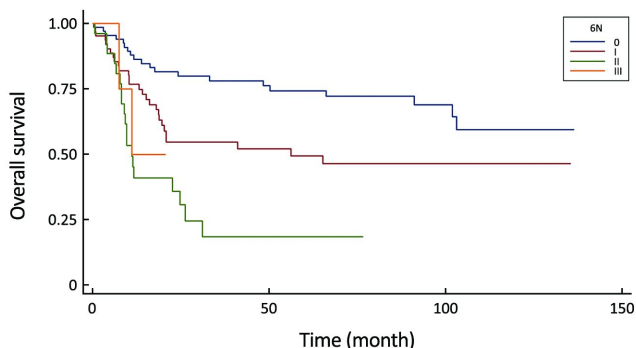


Figure 2 Comparison of survival curves in the 6th UICC N-stage system (P<0.0001).

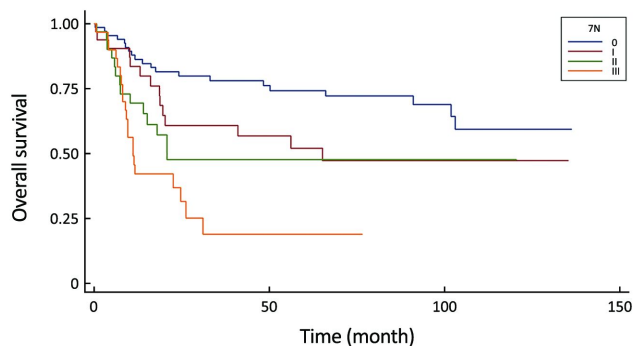


Figure 3 Comparison of survival curves in the 7th UICC N-stage system (P<0.0001).

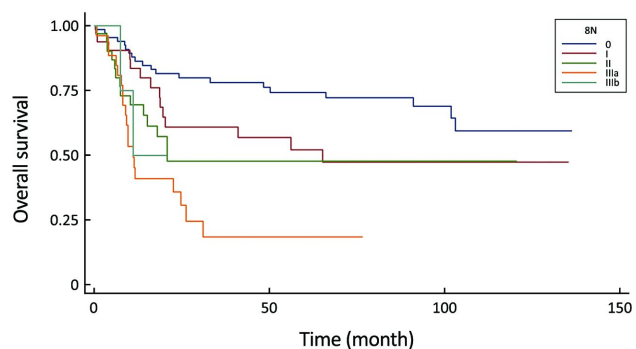


Figure 4 Comparison of survival curves in the 8th UICC N-stage system (P<0.0001).

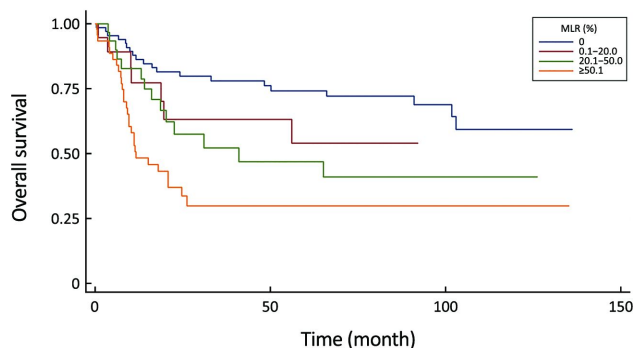


Figure 5 Comparison of survival curves in the metastatic lymph node ratio (MLR) system (P<0.0001).

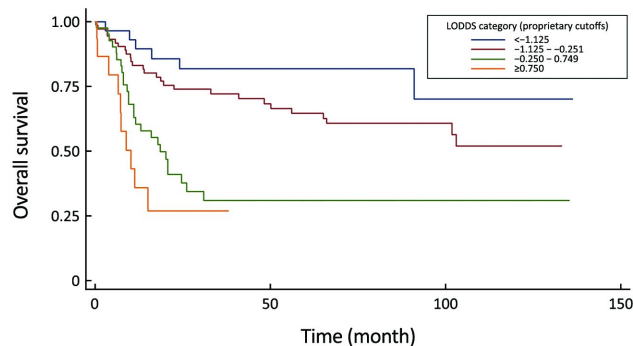


Figure 6 Comparison of survival curves in the log odds of positive lymph nodes (LODDS) system (P<0.0001).

the investigation of hazard ratios, the monotonicity of gradient in the 6th and 8th UICC N-stage did not follow the adequate risk comparing N2 vs. N0 and N3 vs. N0 (HR: 4.97 and HR: 3.00) in the 6th staging system and comparing N3a vs. N0 and N3b vs. N0 (HR: 4.98 and HR: 3.02) in the 8th staging system (Table 4). The former system was excluded from further analysis, while the newest 8th system was evaluated keeping in mind that only 4 patients are representing the N3b group with an inadequate follow-up period.

Comparison of performance of the residual N classifications (7th and 8th UICC N-stage, MLR, LODDS) proved that the LODDS system was the first in prognosis prediction during the evaluation of all patients (Table 5). Furthermore, the association between the number of retrieved LNs and survival rates of these N staging systems was examined. While the LODDS classification was the best predictor of survival in patients with less than 16 harvested LNs, the MLR showed the highest results when adequate (more than or equal to 16) LNs were examined (Table 6, 7).

**Table 4** Multivariate analysis of significant N-stage systems

Factor	Contrast	HR	95% CI	P
6th UICC N-stage	I vs. 0	2.10	1.17–3.75	0.012
	II vs. 0	4.97	2.58–9.56	<0.001
	III vs. 0	3.00	0.68–13.19	0.144
7th UICC N-stage	I vs. 0	1.83	0.92–3.64	0.084
	II vs. 0	2.48	1.24–4.95	0.010
	III vs. 0	4.70	2.47–8.92	<0.001
8th UICC N-stage	I vs. 0	1.83	0.92–3.64	0.084
	II vs. 0	2.47	1.23–4.94	0.010
	IIIa vs. 0	4.98	2.59–9.59	<0.001
	IIIb vs. 0	3.02	0.69–13.27	0.142
MLR (%)	0.1–20.0 vs. 0	1.59	0.66–3.81	0.295
	20.1–50.0 vs. 0	2.21	1.11–4.41	0.023
	≥50.1 vs. 0	3.89	2.14–7.08	<0.001
LODDS	-1.125 – -0.251 vs. < -1.125	1.99	0.82–4.82	0.128
	-0.250 – 0.749 vs. < -1.125	4.83	1.97–11.87	<0.001
	≥0.750 vs. < -1.125	9.17	3.25–25.87	<0.001

UICC, Union for International Cancer Control; MLR, metastatic lymph node ratio; LODDS, log odds of positive lymph nodes; HR, hazard risk; 95% CI, 95% confidence interval.

**Table 5** Comparison of performances of different staging systems

System	AUC (c-index)	AIC	Linear trend $\chi^2$
LODDS	0.700	618.880	12.777
8th UICC N-stage	0.684	624.865	10.390
7th UICC N-stage	0.683	623.370	10.979
MLR	0.672	625.084	9.712

AUC, area under the curve; AIC, Akaike information criterion; LODDS, log odds of positive lymph nodes; UICC, Union for International Cancer Control; MLR, metastatic lymph node ratio.

## Discussion

### *Effects of patient and tumor characteristics on 5-year survival*

During the analysis of our results, we confirmed that the T-stage is a significant predictor for survival as well as the gender with univariate analysis. We found a noticeable variance in survival according to tumor size (66% vs. 49%); however it was not significant statistically. Furthermore, we could not prove significant survival differences in tumor location, Borrmann types of lesions and cancer differentiation as it was previously proved by Zhao *et al.*, who analyzed 2,575 Chinese patients (14). In addition, we demonstrated that the degree of LN dissection (D1 vs. D2)

**Table 6** Comparison of performances of different staging systems in patients with <16 harvested lymph nodes

System	AUC (c-index)	AIC	Linear trend $\chi^2$
LODDS	0.711	471.976	9.437
7th UICC N-stage	0.708	469.477	13.188
8th UICC N-stage	0.708	469.477	13.188
MLR	0.663	477.084	8.928

AUC, area under the curve; AIC, Akaike information criterion; LODDS, log odds of positive lymph nodes; UICC, Union for International Cancer Control; MLR, metastatic lymph node ratio.

was also a non-significant predictive factor for survival.

### *Different UICC N-stage systems*

Several studies confirmed the superiority of the 7th UICC N-staging system to the 6th UICC N-staging classification in prognosis of OS (15–19). The main strength of the Asian studies was the use of data from multiple institutions, thereby reducing the risk of unique outcome due to single-institution bias. Our bi-institutional study resulted in superiority of the 7th UICC N-staging system to the 6th UICC N-staging classification. While our multivariate survival analysis found that, as an independent prognostic factor for survival, the 6th UICC N-stage did not follow



**Table 7** Comparison of performances of different staging systems in patients with  $\geq 16$  harvested lymph nodes

System	AUC (c-index)	AIC	Linear trend $\chi^2$
MLR	0.676	90.692	0.931
LODDS	0.664	89.446	2.507
8th UICC N-stage	0.648	94.249	0.914
7th UICC N-stage	0.644	92.252	0.824

AUC, area under the curve; AIC, Akaike information criterion; UICC, Union for International Cancer Control; MLR, metastatic lymph node ratio, LODDS, log odds of positive lymph nodes.

the adequate clinical risk comparing N2 vs. N0 and N3 vs. N0, so it was unable to reproduce the real survival benefit of patients with a lower N stage. The investigation of 8th UICC N-stage system's survival rates could not strengthen the results of Sano *et al.*, who found a distinct prognosis in patients with pN3a and pN3b stages during the analysis of 25,441 patients from fifteen countries (20). However, in our study, only 4 patients were representing the N3b group with incomplete follow-up period, so we cannot conclude anything concerning the implementation of a new staging system with adaptation to the N3a and N3b subtypes separately. Adequate investigation of the 8th UICC N-Classification in prognosis requires a large scale study from a region with high incidence of gastric cancer, where more than 90% of patients have extended (more than 25 harvested LNs) LN dissection.

#### *Role of removed and negative LNs in 5-year survival*

The UICC N-staging system is criticized for the possibility of stage migration phenomenon and approximately half of the patients are misclassified by the latest version (21), so at least 16 harvested LNs are recommended for the accurate prediction.

Furthermore, Xu *et al.* demonstrated that it is necessary to examine at least 16 LNs for accurate pathological examination of gastric cancer, even in node-negative gastric cancer patients (22). Datta *et al.*, who analyzed the data of more than 22,000 patients found that the examination of 15 or more LNs is a reproducible prognostic factor for gastric cancer outcomes in the United States and should continue to serve as a benchmark for the quality of care (23). Unfortunately, we did not find any survival benefit according to the total number of eLNs. It accounts for the results of ours and the latest Italian study comparing the impact of D1 vs. D2 lymphadenectomy on survival (24,25).

Huang and Deng *et al.* confirmed that the number of negative LNs is a good predictor for survival in patients with gastric cancer with a 10 and 15 cutoff levels (26,27). Based on their results, we created the next cutoff levels (0–9, 10–14,  $\geq 15$ ), but our study was not able to reproduce their data. Although the difference in 5-year survival rates was conspicuous, between more and less than 15 negative harvested LNs (73% vs. 52%), it was not significant ( $P=0.209$ ).

#### *MLR and LODDS, as alternative N-staging systems*

In this current study we applied the most common used cutoff points (0; 0.20; 0.50) from the literature, which has the best statistical results (lowest AIC and highest C-index) (14,28–30), to avoid the unreproducible added value of our own calculated cutoff levels. Several papers showed the MLR's superiority to the number-based (UICC) N-stage systems, because it is less influenced by the total number of harvested LNs (31–33). While Sun *et al.* demonstrated different prognoses in patients with the same MLR ratio (34), we verified this classification with uni- and multivariate analyses, as we proved its discriminatory ability and gradient monotonicity. However, in patients with incomplete lymphadenectomy, this classification had worse performances, as it was described earlier by Xu *et al.* (35).

Otherwise, this system was proved by our study as the best in prognosis prediction of patients with adequate ( $\geq 16$ ) lymphadenectomy, as Liu *et al.* validated the superiority of it to the LODDS classification in patients with extended lymphadenectomy (36).

LODDS is defined as the log of the ratio between the probability of being a positive LN and the probability of being a negative LN when one LN is retrieved (34). The latest studies demonstrated that the LODDS classification is a better predictor of survival in contrast with UICC N-stage system or MLR, moreover it is not influenced by the number of less than 16 removed LNs (34,35,37–40). Especially, in cases with an MLR score of 0 or 1 (41), therefore a lot of Asian patients with early gastric cancer would not benefit from the MLR system owing to the pN0 status (37).

In our bi-institutional study, the LODDS staging had better discriminatory ability and monotonicity of the gradients with a smaller AIC value and a larger AUC under the ROC curve, than did the 6th, 7th and 8th edition UICC N-staging, or MLR systems. These results confirmed that the LODDS classification has the best

prognostic stratification and the most precise prediction for survival in a region with low incidence of gastric cancer and high percentage of advanced, frequently non-resectable disease. Jian-Hui *et al.* justified when the number of retrieved LNs was insufficient (<15), the LODDS system had the best performance in homogeneity, discriminatory ability, monotonicity of gradients and accuracy of the prognosis evaluation (40). Our study strengthened it, the LODDS staging system was the best predictor of survival in gastric cancer during the analysis of all patients as well as, in patients with incomplete (<16) lymphadenectomy.

## Conclusions

We demonstrated at first from the Eastern European region that the LODDS classification could determine the prognosis of gastric cancer more adequately than the other investigated N-staging systems in patients with less than 16 harvested LNs. Otherwise, the MLR system is an accurate classification for prediction of survival and could be used effectively in patient's orientation when sufficient lymphadenectomy was performed.

Finally, we suggest the application of LODDS system routinely in western patients and the usage of MLR classification in cases with extended lymphadenectomy. However, to confirm our results with the above mentioned, calculated cutoff levels of LODDS classification, large-scale, multicenter, prospective trials would be recommended.

## Acknowledgements

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## Footnote

*Conflicts of Interest:* The authors have no conflicts of interest to declare.

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