# Imaging evaluation of sorafenib for treatment of advanced hepatocellular carcinoma

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#### Abstract

Sorafenib, which is a novel targeted agent, plays an important role in treating advanced hepatocellular carcinoma (HCC) through its antiangiogenic and antiproliferative effects. However, conventional morphology-based radiographic evaluation systems may underestimate the efficacy of sorafenib in HCC due to a lack of apparent tumor shrinkage or altered tumor morphology in many cases. This calls for the development of more accurate imaging methods for evaluating sorafenib. The introduction of tumor burden measurements based on viability and other evolving imaging approaches for assessing therapeutic effects are promising for overcoming some of the limitations of the morphology-based criteria. In this review, we summarize various imaging methods that are used to assess treatment responses of advanced HCC to sorafenib. Imaging markers predictive of prognosis in advanced HCC after treatment with sorafenib are also included and discussed.

Keywords: Hepatocellular carcinoma; sorafenib; computed tomography; magnetic resonance imaging

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# Introduction

Hepatocellular carcinoma (HCC) is the fifth most common cancer and the third leading cause of cancer-related mortality worldwide, accounting for approximately 745,500 deaths each year (1). Cirrhosis is the most important risk factor for HCC, with approximately 80% of HCC cases developing in patients with liver cirrhosis (2). According to international guidelines, the diagnosis of HCC is predominantly based on typical vascular patterns on multiphasic computed tomography (CT) or contrastenhanced magnetic resonance imaging (CE-MRI) and, in some cases, on pathologic findings (3,4). However, most patients with HCC are diagnosed at an advanced stage, and in these patients the benefits of potentially curative treatments, including surgical resection, liver transplantation and locoregional therapy, are limited (5).

Fortunately, recent advances in the understanding of

molecular events during hepatocarcinogenesis and tumor metastasis have led to the development of targeting drugs, particularly sorafenib, as promising treatment options for advanced HCC. Sorafenib, which is a small molecule with antiangiogenic and antiproliferative effects, is currently the only approved systemic drug for the treatment of advanced HCC. The efficacy of sorafenib has been shown to prolong the median overall survival (OS) in two multicenter, phase 3, double-blind, placebo-controlled trials (6,7). According to the Barcelona Clinic Liver Cancer (BCLC) algorithm, patients with advanced stage HCC (stage C) with portal invasion, extrahepatic spread and preserved liver function should receive sorafenib as the first-line treatment (5).

Currently, the efficacy of sorafenib treatment is assessed largely according to the radiographic tumor morphology. However, sorafenib can interfere with tumor angiogenesis and growth, which usually does not lead to apparent tumor shrinkage and may alter tumor morphology (8). Therefore,

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conventional morphology-based radiographic evaluation systems may not be accurate for assessing the efficacy of sorafenib treatment. Thus, the development of novel imaging methods for evaluating sorafenib treatment for HCC is important because these methods allow a more accurate assessment of treatment response and improved patient management.

In this review, we summarize the various radiographic methods for evaluating the treatment response of advanced HCC to sorafenib.

# **Sorafenib in HCC**

Angiogenesis, which is the growth of new blood vessels from established vessels, is a key aspect of tumor growth and progression; and thus, it is a promising target for cancer treatment (9). The diffusion distance of oxygen is limited to approximately 100 µm (10). Therefore, largescale tumor growth requires that a tumor have its own vascular supply for oxygen and nutrients; otherwise, it will stay in a dormant state and remain microscopic (11). Unlike physical angiogenesis, which is a series of controlled events determined by the sum of several regulators in tissue development and repair, tumor cells experience an "angiogenic switch" and undergo angiogenesis based on a shift towards the production of proangiogenic factors (12,13). The newly formed blood vessels in tumors are functionally and structurally abnormal and are characterized by increased permeability, tortuosity, dilation and heterogeneity in blood flow (14). These abnormalities further modify the tumor microenvironment and contribute to hypoxia, low pH and high interstitial fluid pressure, leading to impaired delivery of therapeutic drugs and progression in malignancy (15, 16).

Most HCC lesions are hypervascular; therefore, these tumors are sensitive to antiangiogenic therapies. Currently, two approaches employed in the development of antiangiogenic therapy are the inhibition of stimulatory angiogenic factors and supplementation of endogenous antiangiogenic factors (17). Sorafenib is an oral multikinase inhibitor that blocks several molecular pathways that are important in tumor angiogenesis and progression, including serine/threonine kinases (c-RAF and BRAF), receptor tyrosine kinases vascular endothelial growth factor receptor (VEGFR)-2 and VEGFR-3, and platelet-derived growth factor receptor (PDGFR) (18). Among these pathways, VEGF is the main molecule that drives tumor angiogenesis, which not only stimulates endothelial cell division and migration but also increases vascular permeability (19,20).

Sorafenib destroys tumor blood vessels and facilitates vascular normalization (21). Vascular normalization is a process induced by VEGF blockage, by which abnormal tumor blood vessels are transformed to less leaky, tortuous and dilated vessels with basement membranes that are covered by an increased number of pericytes (22). These structural changes generally lead to reduced interstitial fluid pressure and improved tumor oxygenation, consequently facilitating drug delivery and augmenting the effects of chemotherapy and radiotherapy (17,23). However, vascular normalization caused by sorafenib occurs during a limited period. Thus, in order to maximize the possible therapeutic benefit, it is important to schedule additional medical treatment precisely within the time window of vascular normalization with the use of imaging approaches.

# Systems evaluating therapeutic effects of sorafenib for HCC

# Tumor burden measurements based on morphology

Although prolonged OS is the ultimate proof of effectiveness, tumor morphological changes based on contrast-enhanced CT and MRI are commonly incorporated into clinical practice as surrogate markers for evaluating the therapeutic response of HCC to sorafenib treatment (6,7,24). These imaging techniques are widely available and have been well validated in different medical centers with reproducible and quantitative data. Currently, measurement of tumor burden based on size is the most widely used method to assess treatment response in HCC patients. This evaluation system has been applied in clinical practice on a wider scale since the introduction of the first imaging criteria, the World Health Organization (WHO) criteria (25) (Table 1). Other criteria for anatomic imaging markers include the Response Evaluation Criteria in Solid Tumors (version 1.0) (RECIST 1.0) and RECIST 1.1 (26,27) (*Table 1*).

#### WHO criteria

Introduced in the late 1970s, the WHO criteria determined treatment response on the basis of clinical, radiographic or biochemical data or surgico-pathological restaging (25). The radiographic criteria measure tumor burden by adding

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Table 1 Systems evaluating therapeutic effects of sorafenib for HCCs according to the WHO, RECIST, EASL, mRECIST, Choi and RECICL criteria

Response category	WHO Criteria	RECIST 1.0 and 1.1	EASL criteria	mRECIST	Choi criteria	RECICL criteria
Complete response (CR)	Disappearance of all lesions	Disappearance of all lesions. RECIST 1.1 added: pathologic lymph node reduction	Disappearance of enhancing area inside treated location	Disappearance of intratumoral enhancing area	Disappearance of all lesions	TE4 in both target and non-target lesions. No new lesions
Partial response (PR)	≥50% decrease in sum of cross- product of target lesions	≥30% decrease in sum of diameters of target lesions	≥50% decrease in sum of enhancing area	≥30% decrease in sum of diameters of enhancing area	≥10% decrease in sum of diameters or ≥15% decrease in tumor density. No obvious progression of nonmeasurable disease	TE4 in target lesions and TE3 or TE2 in non-targets lesions with no new lesions. Or TE3 in target lesions and non-TE1 in non-target lesions with no new lesions
Stable disease (SD)	Neither PR nor PD	Neither PR nor PD	Neither PR nor PD	Neither PR nor PD	Neither PR nor PD. No symptomatic deterioration attributed to tumor progression	TE2 in target lesions and non-TE1 in non- target lesions with no new lesions
Progressive disease (PD)	≥25% increase in the cross- product of target lesions or appearance of new lesions	≥20% increase in sum of diameters. RECIST 1.1 added: must have at least 5 mm absolute increase in sum	≥25% increase in sum of enhancing area or appearance of new lesions	≥20% increase in sum of diameters of enhancing area	≥10% increase in tumor size and does not meet criteria of PR by tumor density. New lesions. New intratumoral nodules or increase in the size of the existing intratumoral nodules	TE1 in target or non- target lesions or presence of new lesions

HCC, hepatocellular carcinoma; WHO, World Health Organization; RECIST, Response Evaluation Criteria in Solid Tumors; EASL, European Association for Study of the Liver; mRECIST, modified RECIST; RECICL, Response Evaluation Criteria in Cancer of the Liver; TE4, 100% tumor necrosis or size reduction; TE3, 50%–100% tumor necrosis or size reduction; TE3, nor TE1; TE1, ≥50% increase in tumor size.

the products of bidimensional measurements of each lesion and define four categories of objective treatment response [complete response (CR), partial response (PR), stable disease (SD) and progressive disease (PD)] based on evaluations at baseline and after treatment.

The WHO criteria were the first set of evaluation criteria that aimed to improve the standardization of cancer treatment response and develop a "common language" in this field. However, the criteria did not provide an exact definition of a "measurable HCC lesion" or state the number of lesions that should be measured, which could cause inaccuracy in cases with small or multiple HCC lesions and add additional radiographic workload (28). In addition, the criteria did not specify the imaging modality or the methodology that should be used for calculation, which might lead to discrepancies in clinical practice. For example, PD, which is defined as 25% increase in the size of one or more lesions, is described by some radiologists as an increase in the size of any one lesion and as an increase in the sum of the sizes of all lesions by others (29).

# **RECIST version 1.0 and 1.1 criteria**

RECIST 1.0 was published in 2000 by the European Organization for Research and Treatment of Cancer (EORTC), the US National Cancer Institute and the National Cancer Institute of Canada with the goal of overcoming the previously mentioned limitations of the WHO criteria (26). Compared with the WHO criteria, the major revisions of RECIST 1.0 were the following: 1) RECIST 1.0 used one-dimensional measurements; 2) specified the criteria of a target lesion to be measured; and 3) specified the number of lesions to be assessed. These changes made tumor measurement more reproducible and quantitative and saved considerable labor. Nevertheless, the maximum number of five target lesions per organ and 10 target lesions per patient lacked a theoretical basis, and no functional or molecular parameters were incorporated in these criteria (30). There were no clear guidelines for the measurement of lymph nodes either.

To address the abovementioned shortcomings, RECIST was revised to version 1.1 in 2009. The major differences

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between RECIST version 1.0 and 1.1 are as follows: 1) RECIST 1.1 reduced the maximum number of lesions to be measured from 10 to 5 overall and from 5 to 2 per organ; 2) incorporated pathological lymph nodes as target lesions; 3) added a 5 mm absolute increase in tumor size to the definition of PD; and 4) used <sup>18</sup>F-fluorodeoxyglucose positron emission tomographic (FDG-PET) scans to identify new tumor lesions and determine disease progression according to the criteria (27). The assessment of lymph nodes is meaningful in patients with HCC with lymph node metastasis (31). In addition, these modifications may reduce the impact of potential errors when measuring small lesions and increase the sensitivity for detecting disease progression. However, as with its predecessor, RECIST 1.1 considers necrotic lesions unmeasurable, which limits its use in assessing therapeutic responses to antiangiogenic drugs (28). To date, the RECIST criteria are still adopted by regulatory agencies, such as the Food and Drug Administration (FDA), and are widely used in clinical trials testing novel drugs (32).

#### Volumetric Assessment

Several studies have suggested that either uni- or bidimensional measurement of tumor burden be based on the assumption that the tumor lesion is spherical, which is not always the case and may result in inaccuracy when calculating the actual tumor size with these measurements (33,34). Three-dimensional methods for tumor volume quantification are well correlated with the gross pathologic volume of HCC and can better reflect the actual tumor size (34). In patients who underwent transcatheter arterial chemoembolization (TACE) for HCC, two retrospective studies established comparisons between tumor volume and lesion diameter as early predictors of treatment response and have shown a stronger association between tumor volume and patient survival (35, 36). In a retrospective cohort of 22 patients with HCC who were treated with sorafenib, Bargellini et al. (37) found that the volume ratio (post-treatment volume/baseline volume) was significantly correlated with survival, while the radiographic tumor response according to RECIST was not. Nevertheless, volumetric assessment is time consuming and lacks widely accepted criteria for measuring treatment response, which may limit its clinical applications (28).

Due to the lack of wide availability and proper standardization of the required imaging modalities and corresponding data processing software, the RECIST criteria did not support moving the focus of HCC measurement from anatomical assessment to volumetric assessment (27). However, volumetric assessment may be a promising method of accurately assessing treatment response in the future.

#### Tumor burden measurement based on viability

Morphologic tumor burden measurement is a direct way to quantitatively characterize lesion size and monitor morphological changes in HCC lesions treated with cytotoxic agents. This measurement is based on the fact that tumor size can be a surrogate marker for the number of tumor cells and thus is well correlated with tumor burden.

However, in the case of targeted antiangiogenic treatment in HCC patients, size-based measurement can be misleading for gauging the treatment response. One study found that although sorafenib significantly prolonged the median OS in advanced HCC patients for approximately 3 months, no apparent tumor shrinkage was observed in most patients (6). A possible explanation for this is that sorafenib can result in necrosis and hemorrhage inside the tumor, and this may stabilize or even temporarily increase the tumor size (8,18). Thus, the introduction of tumor burden measurements based on viability is of great significance. Criteria developed for this purpose include the European Association for Study of the Liver (EASL) guidelines, the modified RECIST (mRECIST) criteria, the Choi Criteria, and the Response Evaluation Criteria in Cancer of the Liver (RECICL) (Table 1).

# EASL criteria and mRECIST

Image-guided tumor ablation can achieve nearly 100% effectiveness in very early stage HCC, which is comparable with outcomes after surgery (38). TACE with cisplatin or doxorubicin has shown a more than 50% objective response and improved 2-year survival in patients with large or multifocal HCC lesions (5,39). Effective as the abovementioned locoregional therapies are, HCC lesion dimensions may have stabilized or even increased due to tumor necrosis or hemorrhage in the abovementioned cases, making treatment monitoring challenging (40). To address this problem, the EASL criteria were proposed in 2000 (41). The major differences from the WHO criteria were that the EASL criteria used changes in enhancing areas inside the treated location during the arterial phase, representing viable tumor tissue, instead of the lesion size to stratify the response categories. Likewise, the RECIST criteria were amended to mRECIST by incorporating the concept of viable tumor tissue into the criteria in 2010, in which PR was defined as a decrease of at least 30% in the sum of the longest diameters of the enhancing tissue (32).

The EASL criteria and the mRECIST criteria both

demonstrated superiority over the WHO criteria for measuring tumor treatment response after locoregional therapy in HCC patients by exhibiting a stronger correlation with survival (42,43). In HCC patients treated with sorafenib, both the EASL criteria and the mRECIST criteria showed high inter- and intra-observer reproducibility for assessing treatment response (44). Two retrospective studies reported the superiority of mRECIST over RECIST for evaluating treatment responses in HCC patients treated with sorafenib (45,46). Takada et al. (46) demonstrated that responders (patients achieving CR or PR) had significantly better OS than nonresponders (patients achieving SD or PD) based on mRECIST (P=0.0117), while there was no significant difference in OS based on RECIST 1.1 (P=0.0722). Recently, Lencioni et al. (47) studied patients in a phase III BRISK-PS trial who received brivanib, which is an inhibitor of VEGFR and the fibroblast growth factor receptor pathway, after sorafenib progression and found that the objective response (CR or PR) as assessed by mRECIST was correlated with longer OS in patients with advanced HCC (P<0.001) and could be considered a potential surrogate of OS (R=-0.9, 95% confidence interval: -1 to -0.73, P<0.001). However, further studies in other populations are needed to validate the value of the objective response as assessed by mRECIST as a surrogate endpoint.

However, there are several limitations of the two abovementioned criteria. First, HCC lesions with atypical enhancement patterns due to previous treatments are considered nontarget lesions. In addition, measuring the longest diameter of lesions with partial necrosis can be challenging and subjective (32).

#### Choi Criteria

Imatinib, which is a tyrosine kinase inhibitor that affects the enzymatic activity of c-kit and PDGFR, remarkably improved therapeutic outcomes of advanced gastrointestinal stromal tumors (GISTs) (48). However, the RECIST criteria significantly underestimated the effect of imatinib during the early phase of treatment as assessed by FDG-PET, while tumor density measurements determined by drawing a region of interest (ROI) around the boundaries of the tumor during the portal venous phase on CT images showed potential for monitoring tumor response to treatment (49). In 2007, new GIST-specific criteria for measurement of tumor response after imatinib treatment were proposed by Choi et al. (50), in which PR was defined as a decrease in tumor size greater than or equal to 10% or a decrease in tumor density greater than or equal to 15% on CT.

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The Choi criteria have recently been introduced in patients treated with sorafenib for advanced HCC. Two recent retrospective studies have established head-to-head comparisons among the RECIST 1.1, EASL, mRECIST and Choi criteria for advanced HCC patients treated with sorafenib (51,52). Ronot et al. (51) included 64 patients in a single center study and reported that the interobserver agreement was moderate according to the Choi criteria ( $\kappa$ =0.58) and substantial for the RECIST 1.1 (0.65), EASL (0.77) and mRECIST criteria (0.67). Their study indicated difficulty in achieving a reliable treatment assessment of sorafenib in advanced HCC patients according to these imaging evaluation criteria, possibly due to the infiltrative growth pattern and marked tumor heterogeneity of advanced HCC. They also found that a significantly higher response rate was observed with the Choi criteria (51%) than with the EASL (28%), mRECIST (28%) or RECIST 1.1 criteria (3%), and only patients with objective response based on the Choi criteria exhibited longer OS than patients with SD [22.4 months vs. 11.5 months, hazard ratio (HR)=0.46, P=0.04]. Gavanier et al. (52) reported similar results and found that 20%, 5%, 6.7% and 3.3% of patients were identified as responders according to the Choi, EASL, mRECIST and RECIST 1.1 criteria, respectively, and that responders as determined by the Choi criteria showed significantly longer survival than progressors (20.4 months vs. 9 months, HR=0.42, P=0.035).

Advantages of the Choi criteria include the following: 1) better sensitivity for identifying responders with FDG-PET than the RECIST criteria; 2) good correlation with OS; and 3) avoiding the restrictions of FDG-PET in tumor response monitoring, such as limited availability and inaccuracy in small tumor lesions (50,52,53). Nevertheless, ROI determination is subjective and may cause selection bias (28).

#### **RECICL** criteria

The Liver Cancer Study Group of Japan developed the Criteria for the Evaluation of Direct Treatment Effects in HCC in 1994 (54). Then, these criteria were amended with the latest version of RECICL (2015 revised version) (55). Primarily developed for locoregional therapies, the RECICL criteria included tumor necrosis as part of the scope of evaluation for HCC and addressed several limitations of the WHO and RECIST criteria in measuring therapeutic responses after locoregional therapies. Arizumi *et al.* (56) investigated the performance of the RECICL, RECIST 1.1 and mRECIST criteria for evaluating responses to sorafenib treatment in 156 patients with

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HCC. They reported that only classification of treatment response by the RECICL criteria (objective response, SD and PD) was significantly associated OS.

Based on viability, the RECICL criteria: 1) were based on bi-dimensional measurement; 2) separated the evaluation of the direct treatment response after locoregional therapy or radiotherapy of intrahepatic target lesions and the overall response of the entire liver and extrahepatic lesions; 3) determined the overall response on the basis of the direct response of both target and nontarget lesions as well as the appearance of new lesions; 4) considered lipiodol accumulation regions and nonenhancing regions as necrotic areas; 5) incorporated several tumor serology markers, including alphafetoprotein (AFP), AFP-L3 and protein induced by vitamin K absence or antagonist II (PIVKA-II) or des-gammacarboxy protein (DCP) to predict the prognosis; and 6) recommended timing for evaluating responses to different types of treatments. However, large-scale prospective studies are needed to further validate the associations between the overall response evaluation as assessed by the RECICL criteria and HCC prognosis.

# Other imaging approaches for characterizing therapeutic effects

#### FDG-PET

Through the detection of radiopharmaceutical tracer accumulation, PET characterizes various tissue properties. Typically, malignant tumors show enhanced uptake of <sup>18</sup>F-FDG, which is a glucose analog, owing to elevated transport and fixation inside tumor cells (57). The standardized uptake value (SUV) is a semiquantitative parameter that objectively measures tracer uptake. Evidence has shown that the ratio of tumor maximal SUV to liver mean SUV is associated with HCC tumor burden (58). Lee *et al.* (59) enrolled 29 patients undergoing sorafenib treatment for HCC to investigate the prognostic value of FDG-PET in this cohort. They reported that a low pretreatment  $SUV_{max}$  (<5.00), which was defined as the maximal SUV of the most hypermetabolic lesion site, was a predictor of favorable outcomes in terms of both OS and progression-free survival (PFS) (P=0.023 and P=0.042, respectively). However, SUV<sub>max</sub> was not significantly correlated with tumor responses according to the RECIST criteria, which might be explained by the small sample size and limitations of the RECIST criteria for evaluating sorafenib treatment. Recently, Sung et al. (60) reported similar results that a low baseline tumor-to-liver SUV ratio

(TLR) (<2.9) predicted longer OS and PFS (P<0.001 and P=0.023, respectively) and that differences in baseline TLR did not have a predictive value for evaluating tumor response using the mRECIST criteria.

To improve the standardization of measuring and reporting treatment responses, the EORTC introduced a consensus on measurement of tumor response based on FDG-PET in 1999 (61). The consensus suggested that ROIs should contain only viable areas and be consistent on subsequent analyses. Four categories of tumor response, including progressive metabolic disease (PMD), stable metabolic disease (SMD), partial metabolic response (PMR) and complete metabolic response (CMR), were defined based on percentage changes in FDG uptake and the appearance of new uptake sites. In 2009, PET Response Criteria in Solid Tumors (PERCIST) was proposed with a more stringent response classification and more detailed requirements for measuring tumor response, such as recommendations for the number of lesions that should be measured and the timing of PET scans (62).

However, the application of PERCIST is hindered by the following limitations: 1) the sensitivity of FDG-PET for detecting HCC is relatively poor (50%–70%); and 2) FDG accumulation in HCC is influenced by cellular differentiation and FDG-6-phosphatase activity (63). Therefore, further studies are needed to validate the role of PET for measuring tumor response, and the high-cost of PET also needs to be considered in the application of this imaging modality.

# Diffusion-weighted imaging (DWI) and intravoxel incoherent motion (IVIM)

DWI is a specific functional MRI technique based on the assessment of Brownian motion (random thermal diffusion) of water molecules in tissue, thus providing information on biophysical properties of tissues, such as cell density, tissue organization and cellular membrane integrity (64). The apparent diffusion coefficient (ADC) is a parameter used in DWI to quantify the water content acquired through gradient duration and amplitude (b-values). A lower ADC value is considered to be correlated with higher expression of antiapoptotic and proliferative biomarkers that represent tumor viability (65). Recently, IVIM, which is a DWIderived technique, has been introduced for liver imaging. Compared with the monoexponential model used in DWI, a biexponential model involving MRI signals and b values is employed in the IVIM theory, which makes it possible to simultaneously evaluate the perfusion-related diffusivity [demonstrated by the perfusion-related diffusion  $(D^*)$  and

the perfusion fraction (f)] and pure molecular diffusivity [demonstrated by the pure diffusion coefficient (D)]. Viable lesions show significantly different D values compared with necrotic or fibrotic tissues (66).

Schraml et al. (67) evaluated 10 patients with advanced HCC and revealed that tumor lesions exhibiting decreases in ADC values 2-4 weeks after sorafenib treatment showed increases in ADC values 2-3 months later, followed by continuous decreases more than 3 months after the onset of treatment, which could be explained by hemorrhage, necrosis and tumor progression, respectively. Later on, significant effort was devoted to investigating signal changes in DWI or IVIM after sorafenib treatment in mouse models of HCC. As mentioned earlier, transient vascular normalization can be induced by anti-VEGF treatment. A preclinical study demonstrated that increased ADC values were identified in the periphery of tumor lesions along with viable tumor cells and dilated blood vessels on histology at 10, 14 and 18 days after sorafenib treatment (68). A possible explanation is that normalization of the tumor vasculature supplies sufficient blood flow and oxygen, resulting in increased motion of water molecules and tumor cell growth. Recently, Yang et al. (69) reported that the ADC and D values of tumor lesions were significantly higher than the control group at 7, 14 and 21 days after sorafenib treatment, while f significantly decreased at day 7 and then mildly and significantly increased at day 14 and day 21 in the treatment group. The necrotic fraction of the tumor was correlated with the ADC, D and f values, while neither the ADC nor the IVIM parameters were found to be correlated with the microvascular density (MVD). However, Lee et al. (70) reported a decrease in *f* values in the tumor periphery zone 12 days after sorafenib treatment, while there was no significant difference between pre- and post-treatment f values of the whole tumor. Neither the periphery zone nor the whole tumor exhibited significantly different ADC values after treatment. This inconsistency may be attributed to the complex tumor cell pathophysiological processes at different timepoints when data were acquired. These data suggest that significantly increased or decreased ADC values both indicate the presence of a treatment response. Another study reported ultra-early parameter changes following sorafenib treatment in ADC and D values, which showed significant decreases one hour after treatment and remained at lower level until 12 h after treatment and then recovered to baseline levels at 24 h post-treatment (71). These findings may be explained by the presence of swollen mitochondria at one hour posttreatment and progressively swollen mitochondria as well

as the accumulation of autophagosomes from 1 to 12 h post-treatment, as observed by electron microscopy, followed by diffuse patchy necrosis, as identified by light microscopy.

One prospective study demonstrated that the baseline D values of responders (CR, PR and SD according to the mRECIST criteria) to sorafenib were significantly higher than those of nonresponders and that with 0.8 (10<sup>-3</sup> mm<sup>2</sup>/s) used as a cut-off value, D values achieved a sensitivity and specificity of 100% and 67%, respectively, for predicting treatment responders (72).

DWI is a promising quantitative imaging technique for tumor response monitoring as no injection of contrast media is needed and the process of data acquirement is relatively simple. Nevertheless, the low reproducibility of measuring ADC values due to the different types of MRI systems, sequencing parameters, tumor location and size, and timing of measurements after treatment limit its application for evaluating tumor response (28). In addition, a consensus of treatment response categorization based on DWI needs to be established.

# Perfusion imaging

The therapeutic effects of targeted antiangiogenic therapy can alter tissue perfusion. Parameters of perfusion imaging are acquired by computing temporal changes in tissue enhancement based on dynamic contrast-enhanced CT (DCE-CT) or MRI (63). Studies have investigated the value of perfusion parameters as imaging biomarkers for predicting the survival of patients receiving sorafenib for HCC. Zhu et al. (73) revealed that perfusion parameters including blood flow (BF), permeability surface area (PS), blood volume (BV) and mean transit time (MTT) on CT were altered (decreases in BF, PS and BV and increases in MTT) within days after the administration of antiangiogenic therapy in HCC; in addition, lower baseline MTT values and greater increases in MTT values following treatment were correlated with an unfavorable prognosis. Similarly, a higher baseline transfer constant (Ktrans) and a greater percentage decrease in Ktrans values in MRI after sorafenib treatment were predictors of favorable clinical outcome (74).

Recently, Nakamura *et al.* (75) reported that a pretreatment arterial perfusion (AP) of the HCC tumor lesion greater than 71.7 mL/(100 mL·min) using perfusion CT was significantly associated with better OS after sorafenib treatment. Another study also reported that responders (CR, PR and SD as assessed by the mRECIST criteria) showed significantly reduced hepatic perfusion and

AP in tumor lesions measured by perfusion CT two months after sorafenib treatment, while nonresponders demonstrated no significant difference compared with baseline after treatment (76).

One study using 4-dimensional perfusion CT found that 5 out of 6 liver tumor lesions included in the study showed significant decreases in tumor perfusion compared with baseline one week after the onset of sorafenib treatment, which might support the hypothesis of vascular normalization after sorafenib treatment in that outlier case (77). However, Mule *et al.* (78) questioned whether perfusion CT could be replaced by dual-energy CT for evaluating the response to sorafenib or radioembolization in patients with advanced HCC. They reported that latearterial iodine concentration was correlated with perfusion parameters including BV and arterial BF, suggesting that dual-energy CT could be a candidate imaging method for assessing the treatment response to sorafenib.

The value of contrast-enhanced ultrasonography (CEUS) for assessing the treatment response to sorafenib in HCC has been validated by recent studies. Shiozawa *et al.* (79) demonstrated that the intratumoral vascular architecture classified by microflow imaging and the mean arrival time of contrast agent from the reference point to the target lesion two weeks after treatment were correlated with OS (79,80).

#### Magnetic resonance spectroscopy (MRS)

MRS permits the identification and analysis of the concentration of metabolites or chemicals in tissues based on the chemical shift phenomenon (81). Protons (1H) are commonly used nuclei in MRS due to their wide availability and high signal-to-noise ratio (SNR), with the frequency of water as the standard peak. Studies have reported an elevation in choline, which is a cell membrane component, as well as in the choline/lipid ratio in HCC compared with normal liver and a decrease in choline levels after TACE treatment by using <sup>1</sup>H MRS (82-84). Tumors demonstrated reduced levels of choline - containing metabolites and inhibition of cell proliferation and increased apoptosis after targeted antiangiogenic treatment in mouse models (85). Promising as it is, the application of MRS in monitoring the treatment response in HCC is limited by the significant impact of motion-related artifacts and the limited voxel size of ROIs (81).

#### MR and ultrasound elastography

Elastography based on MRI or ultrasound has been developed to noninvasively evaluate the stiffness of tissues

by measuring tissue responses to mechanical shear waves (86,87). Studies have demonstrated the value of elastography in predicting the risk of HCC in patients with chronic hepatitis B and in differentiating malignant from benign focal hepatic lesions, with significantly higher stiffness identified in malignant liver lesions (87-89). After radioembolization, malignant hepatic lesions became stiffer, suggesting tissue repairing and liver fibrosis after treatment (90). In a preclinical study, responders after sorafenib treatment tended to have an early decrease in the stiffness ratio using elastosonography (91). However, assessing the background stiffness can be difficult due to a high incidence of liver cirrhosis-related HCC and remarkable inter-observer variability (28,87).

# Imaging markers to predict efficacy of sorafenib

Although sorafenib significantly prolonged the OS in patients with advanced HCC, more than 20% of patients were categorized as PD after treatment (6,7). Considering the high incidence of adverse effects and the high cost of sorafenib therapy, it is important to identify specific imaging signs that are predictive of whether an HCC patient is suitable for sorafenib treatment.

In perfusion imaging, it has been reported that a higher pretreatment Ktrans and MTT indicate favorable treatment response (PR and SD, as determined by the RECIST criteria) to antiangiogenic therapy in HCC (74,92). In IVIM imaging, it is controversial whether f or D values could potentially distinguish responders from nonresponders to sorafenib treatment in advanced HCC (72,93). In addition, a high visceral fat area measured by CT scans is a risk factor of progressive disease in HCC treated with sorafenib or brivanib (94). In patients with extrahepatic spread HCC, more than two extrahepatic lesions and peritoneal involvement increase the risk of radiologic progression (95). Careful validation in clinical trials is necessary before incorporating these imaging markers into clinical practice. Moreover, a comprehensive diagnostic system that includes all of the possible imaging risk factors should be established.

# Comparisons between different imaging modalities for assessing treatment response to sorafenib

Perfusion imaging, in particular DCE-CT, has been the most frequently investigated and validated imaging method for assessing treatment responses to sorafenib in patients with advanced HCC currently. However, DCE-CT is associated with relatively high radiation exposure and increased intravenous contrast agent dosage, which limit its clinical applications. Noninvasive functional MRI techniques including DWI and IVIM have shown promising results in both animal models and clinical trials for evaluating the therapeutic effects of sorafenib in HCC, and thus, these modalities are considered alternative or supplementary methods to DCE-CT. FDG-PET, MRS, MR elastography and ultrasound elastography also show promise in characterizing sorafenib treatment responses. However, the data regarding the performances of these imaging modalities for assessing the treatment responses to sorafenib remain relatively scarce, and further studies are needed to refine and validate their clinical applications.

# Conclusions

HCC is one of the leading causes of cancer-related death worldwide. Sorafenib significantly prolongs survival in patients with advanced HCC by inhibiting tumor angiogenesis and progression, which usually cause apparent tumor shrinkage and may alter tumor morphology. Noninvasive imaging methods have played a significant role in assessing therapeutic responses to sorafenib in HCC, and several standardized evaluation systems have been established. The criteria for measuring tumor burden based on viability have overcome some limitations of the criteria based on morphology, which seem to underestimate treatment responses of targeted therapy in HCC. The roles of some evolving imaging techniques in monitoring tumor response to sorafenib are currently being validated. In addition, recent advances have shown that several imaging markers can be predictive of prognosis in advanced HCC after sorafenib treatment. Nevertheless, careful validation in large clinical trials is necessary before incorporating these imaging biomarkers into clinical practice.

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# Footnote

*Conflicts of Interest*: The authors have no conflicts of interests to declare.

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