# Extranodal involvement in young patients with diffuse large B-cell lymphoma: distribution, prognostic value and treatment options

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#### Abstract

**Objective:** Extranodal involvement represents a peculiar presentation of diffuse large B-cell lymphoma (DLBCL). Previous studies have suggested that older patients are more prone to extranodal involvement. This study retrospectively addressed the distribution, prognostic value and treatment options of extranodal involvement in young patients with DLBCL.

**Methods:** A total of 329 patients were enrolled according to the inclusion requirements. The effects of gender, extranodal involvement, age-adjusted international prognostic index (aaIPI), rituximab infusion and radiotherapy on patient outcomes were evaluated.

**Results:** Among these patients, 59% presented extranodal involvement in 16 anatomic sites. More than one instance was linked to many poorer clinical characteristics and poorer survival compared with either nodal disease or one instance. In patients with one extranodal lesion, multivariate analysis revealed that the site of extranodal involvement, but not the aaIPI or rituximab infusion, was independently related to the outcome, and radiotherapy had a negative influence on survival.

**Conclusions:** Extranodal involvement is common in younger patients and exhibits a ubiquitous distribution. The site of extranodal involvement is of strong prognostic significance. Radiotherapy for extranodal lesions does not improve patient outcomes.

Keywords: Diffuse large B-cell lymphoma; extranodal involvement; prognosis

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#### Introduction

Diffuse large B-cell lymphoma (DLBCL) represents the most common type of lymphatic neoplasm and has obvious heterogeneity in its histology, immunology, genetics, molecular biology and clinical presentation (1). In fact, the significance of clinical presentation has been emphasized so emphatically that some subtypes of DLBCL have been separated based on presentation in the 2008 World Health Organization (WHO) lymphatic neoplasm classification (2), including primary mediastinal large B-cell lymphoma, DLBCL leg type, primary effusion lymphoma and primary central nervous system (CNS) lymphoma. The study retrospectively addressed extranodal involvement in young patients with DLBCL, which is a peculiar presentation compared to nodal disease.

The number of extranodal involvement sites has been defined as an independent prognostic factor in the

international prognostic index (IPI) score model, aside from age, performance status, Ann Arbor stage and lactate dehydrogenase (LDH) level. However, the number of extranodal involvement sites is not included in the ageadjusted international prognostic index (aaIPI) model. Only performance status, Ann Arbor stage and LDH level are factors for young patients (3). The Ann Arbor staging is derived from Hodgkin's lymphoma (HL) (4), and extranodal disease does not usually affect the prognosis of patients with nodal disease to the same anatomical extent because extranodal disease sites and contiguous nodal areas can be encompassed safely within the appropriate field for curative radiation therapy (5). As mentioned previously, extranodal involvement seems to have less significance in DLBCL based on traditional experience, especially in young patients. Nevertheless, recent studies have increasingly reported the importance of extranodal involvement in DLBCL. An analysis of the Surveillance, Epidemiology and End Results (SEER) database showed that approximately one-third of DLBCL patients presented with extranodal involvement that spread to 12 groups of anatomic sites (6). Extranodal sites have been reported to be associated with a distinct outcome in the analysis, and patients with extranodal sites tend to be older than patients with nodal DLBCL. Another study has even established an enhanced IPI (NCCN-IPI) formulation including involvement of the bone marrow, CNS, liver, gastrointestinal (GI) tract or lung as unfavorable factors. This formulation has proven to be a better prognostic tool than the traditional IPI for use in the rituximab era (7).

Young age is considered to be a favorable factor in patients with DLBCL (3), but refraction and relapse are still challenging. Although some studies have suggested that extranodal disease predominantly occurs in older patients, and certain sites are associated with a poorer prognosis (6,7), the conditions of extranodal involvement are unknown in young DLBCL patients. Rituximab, an anti-CD20 monoclonal antibody, has been used for the treatment of DLBCL due to its superior efficacy (8,9). Whether it can produce a positive effect on the outcome of patients with extranodal involvement has not been addressed. In addition, although radiotherapy has produced remarkable results for extranodal lesions in patients with HL (10), its significance is controversial in DLBCL with extranodal disease. This study was designed to retrospectively explore the distribution, prognostic value and treatment choices of extranodal involvement in young DLBCL patients. The effects of gender, aaIPI, the number and site of extranodal involvement, rituximab infusion and radiotherapy on patient outcomes were documented in the study.

### **Patients and methods**

### Patients and study design

This study retrospectively included patients who were hospitalized in the Department of Internal Medicine, Henan Cancer Hospital & Affiliated Cancer Hospital of Zhengzhou University from January 1, 2005 to July 1, 2012 and who were aged 16-59 years. These patients were newly diagnosed with DLBCL based on the 2008 WHO classification, and each was reviewed by at least two experienced pathologists. Enrolled patients received 4-6 cycles of systemic chemotherapy in the primary setting and salvage therapy at the time of relapse. Patients receiving autologous stem cell transplantation were excluded from the study. The patients' clinical characteristics and treatment modalities were completely collected. The clinical characteristics included gender, performance status, Ann Arbor staging, LDH levels and aaIPI scores; and the treatment modalities consisted of rituximab infusion and radiotherapy. The study was approved by the Ethic Committee of Henan Cancer Hospital.

A total of 329 patients were enrolled in the study, including 195 males and 134 females, with a median age of 37.5 years. Among them, 294 had good performance status [Eastern Cooperative Oncology Group (ECOG) score 0–1], and 129 presented elevated LDH levels. Localized stage (I/II) was diagnosed in 213 cases, and advanced stage (III/IV) was diagnosed in 116 cases. Then, 254 patients were defined as having a good prognosis (aaIPI score 0–1), whereas 75 were defined as having a poor prognosis (aaIPI score 2–3) (*Table 1*). Rituximab was combined with chemotherapy in 59 cases, and 72 patients were given field-involved radiotherapy.

The treatment response was assessed after every two cycles of chemotherapy using computed tomography (CT) or <sup>18</sup>F-fluorodeoxyglucose positron emission tomography (PET)/CT according to the Cheson criteria or the revised response criteria, which included PET (11,12). The follow-up time of these patients was from the time of diagnosis to July 1, 2014, with a median time of 68.5 (24–113) months. Progression-free survival (PFS) and overall survival (OS) were calculated. PFS was defined as the time from diagnosis to primary treatment failure, relapse or final follow-up. OS was defined as the time from diagnosis to

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Clinical characteristics	n (%)		
	Extranodal involvement (N=193)	Nodal involvement (N=136)	Р
Gender			
Male	112 (58)	83 (61)	0.586
Age $(\overline{x} \pm s)$ (year)	42±11	42±11	0.560
Performance status			
ECOG 0–1	158 (82)	136 (100)	<0.001
Ann Arbor stage			
Localized stage	126 (65)	87 (64)	0.964
LDH level			
Normal	121 (63)	79 (58)	0.399
aalPl			
Good prognosis (0–1)	146 (76)	108 (79)	0.364

Table 1 Comparison of clinical characteristics between patients with extranodal and nodal involvement

ECOG, Eastern Cooperative Oncology Group; LDH, lactate dehydrogenase; aalPI, age-adjusted international prognostic index.

the final follow-up or death from any cause. Extranodal involvement in these patients was analyzed, including the distribution, prognostic value and effects of treatment modalities on survival.

### Statistical analysis

Categorical data were compared using  $\chi^2$  test. Means were compared using *t*-test. Survival functions were estimated using the Kaplan-Meier method and compared using the log-rank test. The Cox proportional hazards regression model was used to assess the effect of multiple variables on survival, including the number and sites of extranodal involvement, gender, aaIPI, rituximab infusion and radiotherapy. Differences were considered statistically significant if the two-sided P<0.05. All statistical analyses were performed using SPSS software (Version 13.0; SPSS Inc., Chicago, IL, USA).

#### Results

# Widespread distribution of extranodal involvement in young patients with DLBCL

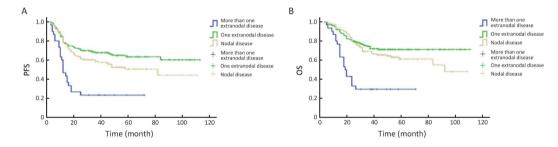
Of the 329 patients enrolled in the study, 193 patients (59%) had extranodal involvement, and 136 patients (41%) had nodal disease alone. The groups presented similar clinical characteristics with the exception of performance status (*Table 1*). Extranodal lesions were associated with poorer performance status at diagnosis than in patients with nodal disease. Thirty patients had more than one

extranodal lesion, and poor clinical features were observed in them. All of these patients had advanced disease (30/30), 67% (20/30) presented poor performance status at diagnosis, 60% (18/30) had high LDH levels, and 83% (25/30) were assigned to the poor prognosis group.

Sixteen sites of extranodal involvement were classified in the anatomy. The GI tract was the most common site, accounting for 33% (64/193) of the anatomic sites. Waldever's ring ranked second, accounting for 22% (43/193) of the sites. The patients with other extranodal lesions included 14 in the bone tissue, 13 in the thorax, 12 in the pancreas, 11 in the thyroid, 11 in the breast, 10 in the liver, 9 in the adrenal gland, 9 in bone marrow, 7 in the paranasal sinuses, 5 in the brain, 5 in female genitals, 4 in salivary glands, 2 in the skin and 2 in the testicles. Special extranodal sites showed a high incidence of more than one extranodal lesion, including the adrenal gland (7/9, 78%), the pancreas (9/12, 75%) and the liver (7/10, 70%). The frequency of other sites was 54% (7/13) in the thorax, 40% (2/5) in the brain, 33% (3/9) in the bone marrow, 23% (15/64) in the GI, 21% (3/14) in the bone, 18% (2/11) in the breast, 18% (2/11) in the thyroid and 14% (1/7) in the paranasal sinuses.

# Patients with more than one instance of extranodal involvement exhibited the poorest survival, which was attributed to a high aaIPI score

Based on the number of extranodal involvements, 136 of the patients were classified as having only nodal disease, 163 were classified as having extranodal disease with one site involved, and 30 patients were classified as having extranodal disease with more than one site involved. The highest percentage of poor prognosis was found in patients with more extranodal lesions (83% vs. 21% vs. 14%, P<0.001), while the percentage of men (61% vs. 56% vs. 70%, P=0.300), patients receiving rituximab infusion (16% vs. 20% vs. 19%, P=0.778), and patients receiving radiotherapy (20% vs. 26% vs. 7%, P=0.053) were similar among the three groups. The survival outcomes were compared among these groups using the Kaplan-Meier method. Patients with more than one extranodal lesion displayed the poorest survival, with a 3-year PFS of 23.3% $\pm$ 7.7% and a 3-year OS of 30.0% $\pm$ 8.4%, which was significantly worse compared to the survival of patients with nodal disease, who had a 3-year PFS of 58.5% $\pm$ 4.3% (Log rank=17.417, P<0.001) and a 3-year OS of 69.2% $\pm$ 4.0% (Log rank=24.250, P<0.001), and patients with extranodal disease with one site involved, who had a 3year PFS of 67.8% $\pm$ 3.7% (Log rank=26.984, P<0.001) and a 3-year OS of 74.3% $\pm$ 3.5% (Log rank=28.228, P<0.001). However, there was no significant difference between patients with only nodal disease and patients with one extranodal lesion (PFS: Log rank=3.704, P=0.054; OS: Log rank=1.985, P=0.159) (*Figure 1*).



**Figure 1** Survival curves of patients with different numbers of extranodal involvement sites. (A) Patients with more than one extranodal involvement siteshowed poorer progression-free survival (PFS) than patients with one extranodal lesion (Log rank=26.984, P<0.001) and patients with nodal disease (Log rank=17.417, P<0.001). However, there was no significant difference in the PFS between patients with nodal disease and patients with one extranodal lesion (Log rank=3.704, P=0.054); (B) Patients with more than one site of extranodal involvement showed poorer overall survival (OS) than patients with one extranodal lesion (Log rank=28.228, P<0.001) and patients with nodal disease (Log rank=24.250, P<0.001). However, there was no significant difference between patients with nodal disease and patients with one extranodal lesion (Log rank=24.250, P<0.001). However, there was no significant difference between patients with nodal disease and patients with one extranodal lesion in OS (Log rank=1.985, P=0.159).

The influence of clinical features (gender, aaIPI, and the number of extranodal sites involved) and treatment options (rituximab infusion and radiotherapy) on survival were analyzed in this study. A univariate survival analysis showed that aaIPI was negatively associated with PFS [hazard ratio (HR), 2.343; 95% confidence interval (95% CI), 1.657-3.314; P<0.001] and OS (HR, 2.343; 95% CI, 1.657-3.314; P<0.001); rituximab infusion positively affected PFS (HR, 0.574; 95% CI, 0.346–0.953; P=0.032) and OS (HR, 0.541; 95% CI, 0.304-0.964; P=0.037); and the number of extranodal sites involved was not related to PFS, but was associated with poor OS (HR, 1.396; 95% CI, 1.034–1.885; P=0.029). Gender and radiotherapy were not shown to affect survival. Multivariate analyses revealed that both aaIPI and rituximab infusion, but not the number of extranodal involvement sites, played independent prognostic roles in both PFS (aaIPI: HR, 2.423; 95% CI, 1.711-3.430; P<0.001; rituximab: HR, 0.536; 95% CI, 0.323–0.892; P=0.016) and OS (aaIPI: HR, 2.598; 95% CI, 1.779–3.796; P<0.001; rituximab: HR, 0.516; 95% CI, 0.289–0.919; P=0.025).

# Extranodal involvement sites displayed important prognostic value in patients with one extranodal lesion

In this study, 163 patients had extranodal disease with one affected site and this group had similar survival rates to patients with nodal disease. This study evaluated the effect of distinct extranodal sites on survival in these patients. To reduce the error from the sampling limitation, we excluded patients with lesions in the adrenal gland, skin and testicles due to the very small sample size (n=2, respectively). Eventually, 157 patients were included. A significant difference was demonstrated in PFS (Log rank=23.470, P=0.024) and OS (Log rank=26.922, P=0.008) among distinct sites. The survival rate for each extranodal site is listed in *Table 2*. The best survival rate occurred in patients

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Extranodal involvement site	n	3-year PFS (%)	3-year OS (%)
Waldeyer's ring	43	81.1±6.0	88.0±5.0
Thyroid	9	77.8±13.9	88.9±10.5
Salivary gland	4	75.0±21.7	100
GI tract	49	68.9±6.7	74.6±6.4
Liver	3	66.7±27.2	66.7±27.2
Pancreas	3	66.7±27.2	66.7±27.2
Bone	11	54.5±15.0	72.7±13.4
Breast	9	55.6±16.6	66.7±15.7
Female genital tract	5	60.0±21.9	60.0±21.9
Thorax	6	50.0±20.4	50.0±20.4
Paranasal sinuses	6	50.0±20.4	50.0±20.4
Bone marrow	6	41.7±22.2	50.0±20.4
Brain	3	0	0

Table 2 The PFS and OS of patients with one extranodal involvement site (N=157)

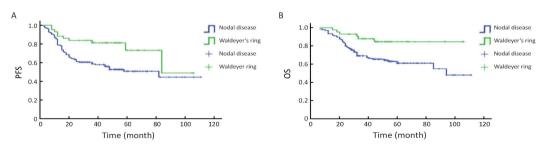
PFS, progression-free survival; OS, overall survival; GI, gastrointestinal.

with lesions in Waldeyer's ring, and the worst occurred in patients with lesions in the brain. Regarding the effects of multiple factors on survival, including clinical features (gender, aaIPI and extranodal involved site) and treatment options (rituximab infusion and radiotherapy), multivariate analyses revealed that distinct extranodal sites (PFS: HR, 0.942; 95% CI, 0.897–0.988; P=0.014; OS: HR, 0.922; 95% CI, 0.872–0.975; P=0.005) and radiotherapy (PFS: HR, 1.804; 95% CI, 1.018–3.197; P=0.043; OS: HR, 1.962; 95% CI, 1.041–3.968; P=0.037) were independently related to the outcome. Disappointingly, radiotherapy was proven to have an adverse effect on the survival of these patients.

# Patients with Waldeyer's ring and GI disease did not show poorer outcomes than those with nodal disease alone

Waldeyer's ring (n=43) and the GI tract (n=49) were the most common sites in patients with one extranodal

involvement site. The survival of patients with these lesions was compared to the survival of patients with only nodal disease. The groups with Waldeyer's ring and GI lesions showed similar clinical features and treatment choices, including the percentage of males (Waldever's ring: 72% vs. 61%, P=0.189; GI: 59% vs. 61%, P=0.821), good prognosis (Waldever's ring: 91% vs. 79%, P=0.092; GI: 90% vs. 79%, P=0.104), rituximab infusion (Waldever's ring: 28% vs. 16%, P=0.087; GI: 18% vs. 16%, P=0.725) and radiotherapy (Waldever's ring: 30% vs. 20%, P=0.154; GI: 16% vs. 20%, P=0.589). Patients with Waldever's ring lesions exhibited better survival than patients with nodal disease (PFS: Log rank=7.022, P=0.008; OS: Log rank=7.097, P=0.008) (Figure 2), whereas patients with GI involvement showed similar survival to patients with nodal disease (PFS: Log rank=3.691, P=0.055; OS: Log rank=1.478, P=0.224) (Figure 3).



**Figure 2** Survival curves of patients with Waldeyer's ring lesions and nodal disease. (A) Patients with Waldeyer's ring lesions displayed better progression-free survival (PFS) than patients with nodal disease (Log rank=7.022, P=0.008); (B) Patients with Waldeyer's ring lesions showed better overall survival (OS) than patients with nodal disease (Log rank=7.097, P=0.008).

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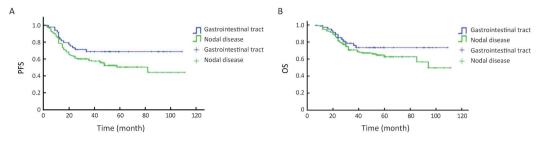


Figure 3 Survival curves of patients with gastrointestinal (GI) involvement and nodal disease. (A) Patients with GI involvement showed a similar progression-free survival (PFS) to patients with nodal disease (Log rank=3.691, P=0.055); (B) Patients with GI involvement had similar overall survival (OS) to patients with nodal disease (Log rank=1.478, P=0.224).

### Discussion

This study revealed that extranodal involvement was common and ubiquitous at anatomic sites in young patients with DLBCL, which was consistent with data from the SEER database in a whole population with DLBCL (6). In addition, the most common extranodal sites in this study were Waldeyer's ring and the GI tract, while the most common sites in the analysis of the SEER database were the GI tract and the head/neck. In fact, these two sites have a higher chance of contacting external antigens and stimulation, which increases the risk of malignant transformation. The present study also suggested that extranodal involvement resulted in a poorer performance status compared with nodal disease, but did not affect other important clinical features, such as the staging, LDH levels and aaIPI scores. However, very poor clinical characteristics were observed in patients with more than one extranodal lesion, including poor performance statuses, advanced stages, high LDH levels, and consequently, high aaIPI scores. Poor clinical characteristics were presumed to be associated with more aggressive tumor potential and to mediate a poor prognosis (3). Intriguingly, some extranodal sites were found to have high incidence of more than one extranodal lesion, including the adrenal gland, pancreas and liver, and these lesions may produce more aggressive biological behavior. In summary, extranodal lesions should receive more attention at the time of diagnosis in young patients with DLBCL due to their widespread distribution.

Extranodal disease involving more than one site was associated with a poorer outcome than nodal disease alone or extranodal disease with one site. However, the prognostic value of the incidence of multiple extranodal sites was not demonstrated in a multivariate analysis that included gender, aaIPI, rituximab infusion and radiotherapy. Instead, the aaIPI score and rituximab infusion were shown to independently influence the outcome. Therefore, we concluded that the aaIPI exhibited powerful prognostic significance in the young patients, and poor survival with multiple extranodal lesions was attributed to a high aaIPI score. However, significant differences in the survival rates of patients with distinct sites of extranodal involvement were shown in patients with one extranodal site, who had a similar survival rate to patients with nodal disease in the study. Moreover, the prognostic value of the site of extranodal involvement was so strong that by comparison, the aaIPI and rituximab infusion had no significant prognostic value in these patients. The discriminative prognosis provided by distinct extranodal sites has been validated by other studies (13,14). Several reasons may be responsible for the high prognostic value. First, extranodal tissues and organs usually perform unique physiological functions. If major organs are involved, the performance status will be more severely affected. Poorer performance statuses have been observed in patients with extranodal involvement, which will reduce the tolerance of patients to standard treatments (3). Second, tumor cells from distinct extranodal sites may have different degrees of aggressive potential. More aggressive characteristics usually result in poorer responses to treatment or rapid disease progression. For example, diseases of the adrenal gland, pancreas and liver have been found to develop more extranodal lesions. More interestingly, distinct extranodal sites can provide a specific tumor microenvironment (15), which has been demonstrated to have a critical effect on the biological behavior of tumors (16). Some extranodal involvement sites have been shown to have an increased risk of a CNS event in DLBCL, including the paranasal sinus, testicles, epidermis, bone marrow, renal and adrenal glands, and the breast (17-20). It is well-known that metastasis to the CNS is a major cause of treatment failure. Therefore, it is worth

clarifying the mechanism of the prognostic differences among distinct extranodal diseases to improve outcomes for these patients.

Controversies remain regarding the prognostic value of some distinct extranodal sites. The International Extranodal Lymphoma Study Group (IELSG) reported that the survival of patients with DLBCL of the head and neck was inferior to that of patients with nodal DLBCL (21); in that report, DLBCL of the head and neck included lesions in Waldever's ring, the parotid and salivary glands, the thyroid gland, the nasal cavity and paranasal sinus, the palate and the oral cavity. This was contrary to the results from the SEER database, which showed that the disease of the head and neck was associated with better survival (6). In the present study, patients with Waldever's ring lesions displayed better survival than those with nodal disease. Although lesions in other sites of the head and neck, such as the salivary and thyroid glands and the paranasal sinus, were not compared with nodal disease because of the sample limitation in this study, better survival tended to occur in patients with lesions in the salivary and thyroid glands, whereas poor survival tended to occur in patients with lesions in the paranasal sinus. Waldever's ring is actually not defined as an extranodal site but as a lymphatic tissue in the Ann Arbor staging (5). Takahashi et al. (14) also reported better survival of patients with Waldever's ring lesions. The GI tract is another site that is commonly involved and has been associated with a poor prognosis in some studies (7,22). However, the present study did not confirm the unfavorable effect of DLBCL in the GI tract on survival compared with nodal disease. The divergence may be generated from a sample choice (young patients), but it remains unknown whether this divergence was caused instead by regional disparity. In our center, DLBCL of the GI tract was often found in combination with Helicobacter pylori infection, which may have given rise to distinct entity with lower aggressiveness and higher chemosensitivity (23). To answer this question, the epidemiology of Helicobacter pylori in different regions should be compared. An additional argument exists regarding the prognosis of patients with female genital tract involvement. Ahmad et al. (24) reported a more favorable prognosis in these patients, while a study from China showed that these patients had a high risk of CNS relapse and therefore had poorer prognosis (25). These issues definitely require comprehensive cooperation to achieve accurate insight into these rare extranodal diseases.

In the study, rituximab infusion was shown to have a

positive effect on the outcome of young patients but did not affect the outcome of patients with one extranodal lesion. Radiotherapy was not shown to influence survival in young patients, and more disappointingly, it led to an adverse effect on survival in patients with one extranodal lesion. Radiotherapy efficaciously exerts local control of disease in patients with DLBCL (26). The addition of radiotherapy after systemic therapy is expected to improve the survival of DLBCL patients with extranodal disease in clinical practice. Unfortunately, this study did not demonstrate that these patients benefited from the use of radiotherapy. Likewise, whole brain radiotherapy has not been proven to significantly increase survival compared with chemotherapy alone in primary CNS lymphoma (27). In the future, novel treatments are expected to improve the poor outcomes of distinct extranodal involvement sites. For example, lenalidomide, an oral non-chemotherapy immunomodulator with effects on tumor cells and the microenvironment, has been demonstrated to be safe in combination with R-CHOP (rituximab plus cyclophosphamide, doxorubicin, vincristine, and prednisone) and shows promising efficacy in the treatment of DLBCL (28). Programmed cell death ligand 1 (PD-L1) has also been found to be expressed on select DLBCL tumor cells and on tumor-infiltrating nonmalignant cells (29). Immunotherapy targeting the PD-1/PD-L1 pathway should be considered in this distinct DLBCL subgroup. A recent study also supported the development of ibrutinib, which targets B-cell receptor signaling and acts as an inhibitor of Bruton's tyrosine kinase, for use in DLBCL treatment (30).

#### Conclusions

Extranodal involvement is common and associated with many anatomical sites in young DLBCL patients. Patients with more than one extranodal involvement site present a poorer prognosis than those with one extranodal lesion or with only nodal disease, which is attributed to a high aaIPI score. Among patients with extranodal disease, the anatomical sites involved can be used instead of the traditional aaIPI score to predict the outcome. Not all extranodal involvement sites indicate a poorer prognosis than that observed in nodal disease. Waldeyer's ring lesions may exhibit better survival; GI involvement may show similar survival; and CNS involvement may manifest poor survival compared with nodal disease. Radiotherapy for extranodal lesions does not improve patient outcomes, and novel therapy may be promising for young patients with extranodal disease.

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# Footnote

*Conflicts of Interest*: The authors have no conflicts of interest to declare.

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